

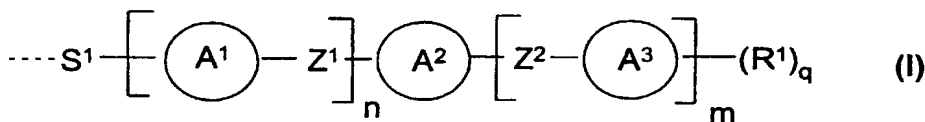
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(54) Title: PHOTOACTIVE COPOLYMER



(57) Abstract: The invention relates to a novel photoactive copolymer and its use as orientation layers for liquid crystals and in the construction of unstructured and structured optical elements and multi-layer systems. The copolymer contains side chains incorporating photoreactive groups and simultaneously side chains of general formula (I), wherein the total number of rings, including the number of rings in optionally present condensed systems is at least two and at most six; and wherein the broken line symbolizes the point of linkage to the polymer main chain; A<sup>2</sup> represents an alicyclic ring or a condensed ring system incorporating 2-5 rings, in which one or more non adjacent CH<sub>2</sub> groups are optionally replaced by oxygen, which is unsubstituted or substituted by fluorine or by a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by a group Q, where Q represents -O-, -CO-, -CO-O-, -O-CO-, -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NR<sup>2</sup>-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-NR<sup>2</sup>-, -CH=CH-, -C=C-, -O-CO-O-, and R<sup>2</sup> represents hydrogen or lower alkyl; A<sup>1</sup>, A<sup>3</sup> each independently or the other represents an aromatic or alicyclic group which is unsubstituted or substituted by fluorine, chlorine, cyano or by a cyclic, straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above, with the proviso that -CH=CH-, -C=C- are not in conjugation with aromatic rings.

Photoactive Copolymer

5

The present invention relates to a new photoactive copolymer and its use - alone or admixed with at least with one other photoactive polymer - as orientation layers for liquid crystals and  
10 in the construction of unstructured and structured optical elements and multi-layer systems.

The successful functioning of a liquid crystal device relies upon the ability of the liquid crystal molecules within that  
15 device to adopt and maintain an alignment imposed upon them. Alignment of the liquid crystal molecules is achieved by use of an orientation layer which defines a direction of orientation for the liquid crystal molecules of the device with the result that the longitudinal axes of the molecules become aligned with  
20 the direction of orientation defined by the orientation layer. In addition to this directional alignment, the orientation layer is also able to impart to the liquid crystal molecules an angle of tilt so that the molecules align themselves at an angle to the surface of the orientation layer rather than lying parallel  
25 hereto.

Tilt angles of between  $1^\circ$  and  $15^\circ$  are usual for nematic liquid crystal displays (LCDs). Some electro-optical effects used for LCDs, however, require alignment layers with very high pretilt  
30 angles. Vertically aligned nematic (VAN) LCDs for instance require pretilt angles between  $85^\circ$  and  $90^\circ$ , measured from the surface plane. In the case of hybrid aligned nematic (HAN) LCDs, the pretilt angle at one of the substrates has to be in the

above range, whereas the tilt angle at the other substrate is low (typically  $0^{\circ}$  to  $10^{\circ}$ ).

Methods of preparing structured and unstructured orientation layers are well known to a skilled person. Customarily used uniaxially rubbed polymer orientation layers such as, for example, polyimides however impact a series of disadvantages like dust generation during rubbing process, destruction of thin film transistors and lack of structuring. The rubbing process consequently does not allow the production of structured layers. Orientation layers in which the direction of orientation can be predetermined by irradiation with polarized light have been known for some time. It is by that means possible to avoid the problems inherent in the rubbing process. In addition, it is possible to provide areas having different orientation and thus to structure the orientation layer as described for example in Jpn. J. Appl. Phys., Vol. 31 (1992), 2155-64 (Schadt et al.). In that process the dimerisation of polymer-bonded photoreactive cinnamic acid groups induced by irradiation with linearly polarized light is employed leading to an anisotropic polymer network. Those photo-oriented polymer networks can be used wherever structured or unstructured liquid crystal orientation layers are required.

EP-A-0611786 and WO-A-96/10049 (both F. Hoffmann-La Roche AG), as well as EP-A-0763552 (Rolic AG), describe cinnamic acid polymers that are suitable in principle for the synthesis of such anisotropically cross-linked, photostructured orientation layers for liquid crystals. In the case of the compounds described in EP-A-0763552 and WO-A-96/10049, on irradiation with linearly polarized light it is possible, in addition to inducing the desired orientation, simultaneously to induce an angle of tilt. It is thus possible to produce layers having structuring in respect

of surface orientation and angle of tilt.

The above photostructured orientation layers have the disadvantage, however, that for certain applications, especially  
5 for use in TFT displays, they result in adjacent liquid crystal mixture having an insufficient electrical resistance value. In TFT displays, too low a resistance value of the liquid crystal medium leads to an inadequate "holding ratio", which is a  
10 measure of the voltage drop in the display after the voltage has been switched off. Low holding ratio values, however, bring about undesirable changes in brightness and contrast over time and thus result in unstable graduations of the grey tones.

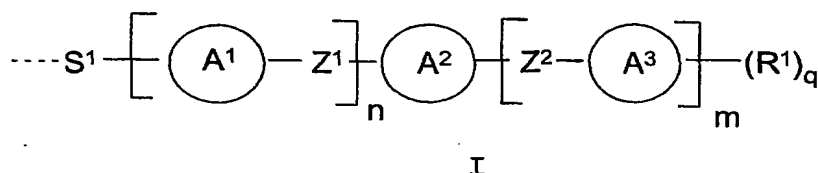
Recently, photoreactive materials for orientation layers with  
15 improved holding ratios were described in WO-A-99/49360 (Rolic AG), JP-A-10-195296, JP-A-10-232400 (both Samsung Electron Devices Co., Ltd.), WO-A-99/15576 (Rolic AG) and WO-A-99/51662 (Kanegafuchi Kagaku Kogyo KK). In WO-A-99/49360, JP-A-10-195296 and JP-A-10-232400, blends of polymeric compounds containing  
20 photoreactive polymers on the one hand and polyimides on the other hand are proposed. A disadvantage of such blends is their limited miscibility. Low contents of photoreactive polymers however lead to a loss of orienting properties and consequently to a reduced contrast ratio of liquid crystal layers to be  
25 oriented whereas a reduced polyimide content results in insufficient holding ratios. In WO-A-99/15576 and WO-A-99/51662 polyimides incorporating photoreactive cinnamate groups in their side chains are described.

30 A usually important feature necessary for orientation layers used in LCDs is the photostability of tilt angles. Especially in displays using high light intensities, for instance in projection displays, for the known photo orientation materials often

changes in the predetermined tilt angles are observed with time. Such changes in tilt angle however lead to a continuous loss of picture contrast upon light exposition. There is consequently a need for photoalignment materials having good aligning properties and simultaneously the ability to induce angles of tilt of variable size, which show an improved stability over a long period of time. In addition high voltage holding ratios should be feasible whenever required.

Surprisingly it has now been found that the photoactive copolymer according to claim 1 fulfills at least partly these requirements.

A first aspect of the present invention therefore provides a photoactive copolymer which contains side chains incorporating photoreactive groups and simultaneously side chains of the general formula I:



wherein

the total number of rings (including the number of rings in optionally present condensed systems) is at least two and at most six; and wherein

the broken line symbolizes the linkage to the polymer main chain;

A<sup>2</sup> represents an alicyclic ring or a condensed ring system incorporating 2-5 rings, in which one or more non adjacent CH<sub>2</sub> groups are optionally replaced by oxygen,

which is unsubstituted or substituted by fluorine or by a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by a group Q, where Q represents -O-, -CO-, -CO-O-, -O-CO-, -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NR<sup>2</sup>-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, and R<sup>2</sup> represents hydrogen or lower alkyl;

A<sup>1</sup>, A<sup>3</sup> each independently of the other represents an aromatic or alicyclic group which is unsubstituted or substituted by fluorine, chlorine, cyano or by a cyclic, straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above, with the proviso that -CH=CH-, -C≡C- are not in conjugation with aromatic rings;

S<sup>1</sup> represent a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 24 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above;

Z<sup>1</sup>, Z<sup>2</sup> represent a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue which is unsubstituted, mono-substituted by cyano or halogeno, or

poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above;

5

R<sup>1</sup> is a hydrogen atom, a halogen atom, a cyano group or a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above with the proviso that the groups -CH=CH-, -C≡C- are not attached directly to an aromatic ring;

10

15 n and m each independently are 0, 1 or 2 such that  $(m + n) \leq 2$  and

q denotes 0 or 1.

20 By the term "alicyclic" it should be understood to include non-aromatic carbocyclic or heterocyclic ring systems with 3 to 10 carbon atoms like cyclopropane, cyclobutane, cyclopentane, cyclopentene, cyclohexane, cyclohexene, and cyclohexadiene.

25 By the term "aromatic" it should be understood to include optionally substituted carbocyclic and heterocyclic groups incorporating five, six or ten ring atoms like furan, phenyl, pyridine, pyrimidine, naphthalene, or tetraline units.

30 By the term "lower alkyl" it should be understood to include straight chain and branched hydrocarbon radicals having from 1 to 6 carbon atoms, preferably from 1 to 3 carbon atoms. Methyl, ethyl, propyl and isopropyl groups are especially preferred.

In further aspects, the invention also provides the use of the polymers of the general formula I for the production of photoreactive mixtures, and the use alone or in mixtures as orientation layers for liquid crystals. Such orientation layers may be unstructured or structured (patterned, multi-domain) and may advantageously be used in the construction of LCDs as well as in the construction of unstructured and structured optical elements and multi-layer systems such as non-absorptive color filters, linear and circular polarizers, optical delay layers etc., which are for example exemplified in EP-A-0611981, EP-A-0689084, EP-A-0753785 (all F. Hoffmann-La Roche AG) and WO-A-98/52077 (Rolic AG).

The new photoactive material shows excellent alignment and generally induce very high pretilt angles. In mixtures with other photoreactive polymers, it can be used to achieve defined pretilt angles in the whole range of  $1^{\circ}$  to  $90^{\circ}$ . Surprisingly, such adjusted pretilt angles are stable upon illumination with strong visible light during a very long period of time.

Preferred rings  $A^2$  are unsubstituted five membered or six membered rings or an optionally substituted group of 2 to 5 condensed rings, in which one or two non adjacent  $CH_2$  groups are optionally replaced by oxygen.

More preferred rings  $A^2$  are cyclopentane-1,3-diyl, cyclohexane-1,4-diyl, decalin-2,6-diyl, perhydrophenanthren-2,7-diyl, perhydroanthracen-2,6-diyl or a group incorporating a condensed ring system selected from a radical of a naturally occurring, at least bicyclic, diterpene or triterpene or from a steroid, most preferably cholesterol or cholestanol.



Most preferred rings A<sup>2</sup> are trans-decalin-2,6-diyl, trans,trans-perhydrophenanthren-2,7-diyl or a group incorporating a condensed ring system selected from a radical of a naturally occurring, at least bicyclic, diterpene or triterpene or from a steroid, most preferably cholesterol or cholestanol.

Preferred rings A<sup>1</sup> and A<sup>3</sup> independently of each other are unsubstituted saturated five or six membered alicyclic rings or six membered aromatic rings optionally substituted by fluorine or chlorine or by a straight chain or branched alkyl residue which is unsubstituted, mono- or poly-substituted by fluorine having 1-12 carbon atoms wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, with the proviso that -CH=CH-, -C≡C- are not in conjugation with an aromatic ring and wherein R<sup>2</sup> represents a hydrogen atom or lower alkyl.

More preferred rings A<sup>1</sup> and A<sup>3</sup> are unsubstituted cyclopentane-1,3-diyl, cyclohexane-1,4-diyl or 1,4-phenylene which is unsubstituted, mono- or poly-sybstituted by fluorine or chlorine or a straight-chain or branched alkyl residue which is unsubstituted, mono- or poly-substituted by fluorine having 1-12 carbon atoms wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-, with the proviso that CH=CH- and -C≡C- are not in conjugation with aromatic rings.

Preferred "spacer units" S<sup>1</sup> in the context of the present invention are a single bond, -CH<sub>2</sub>- or a straight-chain or branched alkylene grouping, represented by -(CH<sub>2</sub>)<sub>r</sub>-, and also -(CH<sub>2</sub>)<sub>r</sub>-O-, -(CH<sub>2</sub>)<sub>r</sub>-CO-, -(CH<sub>2</sub>)<sub>r</sub>-CO-O-, -(CH<sub>2</sub>)<sub>r</sub>-O-CO-, -(CH<sub>2</sub>)<sub>r</sub>-CO-NR<sup>2</sup>-,

$-(CH_2)_r-NR^2-CO-$ ,  $-(CH_2)_r-NR^2-$ ,  $-(CH_2)_r-O-(CH_2)_s-$ ,  
 $-(CH_2)_r-CO-O-(CH_2)_s-$ ,  $-(CH_2)_r-O-CO-(CH_2)_s-$ ,  $-(CH_2)_r-NR^2-CO-(CH_2)_s-$ ,  
 $-(CH_2)_r-NR^2-CO-O-(CH_2)_s-$ ,  $-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-(CH_2)_r-CO-O-(CH_2)_s-O-$ ,  $-(CH_2)_r-O-CO-(CH_2)_s-O-$ ,  
5  $-(CH_2)_r-NR^2-CO-(CH_2)_s-O-$ ,  $-(CH_2)_r-NR^2-CO-O-(CH_2)_s-O-$ , and if at-  
 tached to a polystyrene, polyimide, polyamic acid or polyamic  
 acid ester backbone also  $-O-(CH_2)_r-$ ,  $-CO-O-(CH_2)_r-$ ,  
 $-O-CO-(CH_2)_r-$ ,  $-NR^2-CO-(CH_2)_r-$ ,  $-CO-NR^2-(CH_2)_r-$ ,  $-NR^2-(CH_2)_r-$ ,  
 $-O-(CH_2)_r-CO-O-$ ,  $-O-(CH_2)_r-O-CO-$ ,  $-O-(CH_2)_r-CO-NR^2-$ ,  
10  $-O-(CH_2)_r-NR^2-$ ,  $-O-(CH_2)_r-O-$ ,  $-O-(CH_2)_r-NR^2-CO-$ ,  
 $-NR^2-(CH_2)_r-CO-O-$ ,  $-NR^2-(CH_2)_r-O-$ ,  $-NR^2-(CH_2)_r-NR^2-$ ,  
 $-NR^2-(CH_2)_r-O-CO-$ ,  $-CO-NR^2-(CH_2)_r-O-$ ,  $-CO-NR^2-(CH_2)_r-NR^2-$ ,  
 $-CO-NR^2-(CH_2)_r-O-CO-$ ,  $-O-CO-(CH_2)_r-CO-$ ,  $-O-CO-(CH_2)_r-O-$ ,  
 $-O-CO-(CH_2)_r-NR^2-$ ,  $-O-CO-(CH_2)_r-CO-O-$ ,  $-O-CO-(CH_2)_r-CO-NR^2-$ ,  
15  $-O-CO-(CH_2)_r-NR^2-CO-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-$ ,  $-O-(CH_2)_r-CO-O-(CH_2)_s-$ ,  
 $-O-(CH_2)_r-NR^2-CO-(CH_2)_s-$ ,  $-O-(CH_2)_r-NR^2-CO-O-(CH_2)_s-$ ,  
 $-O-(CH_2)_r-CO-O-(CH_2)_s-O-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-O-(CH_2)_r-NR^2-CO-(CH_2)_s-O-$ ,  $-O-(CH_2)_r-NR^2-CO-O-(CH_2)_s-O-$ ,  
 $-CO-O-(CH_2)_r-O-(CH_2)_s-$ ,  $-CO-O-(CH_2)_r-O-(CH_2)_s-O-$ , wherein r and s  
20 are each an integer from 1 to 20, but especially from 2 to 12,  
 with the proviso that  $r + s \leq 21$ , especially  $\leq 15$ , and wherein  $R^2$   
 represents hydrogen or lower alkyl.

More preferred "spacer units"  $S^1$  are a single bond,  $-CH_2-$ , a  
 25 straight-chain alkylene grouping represented by  $-(CH_2)_r-$ , and  
 also  $-(CH_2)_r-O-$ ,  $-(CH_2)_r-CO-O-$ ,  $-(CH_2)_r-O-CO-$ ,  $-(CH_2)_r-CO-NH-$ ,  
 $-(CH_2)_r-NH-CO-$ ,  $-(CH_2)_r-NH-CO-(CH_2)_s-$ ,  $-(CH_2)_r-NH-CO-O-(CH_2)_s-$ ,  
 $-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-(CH_2)_r-NH-CO-(CH_2)_s-O-$ ,  
 $-(CH_2)_r-NH-CO-O-(CH_2)_s-O-$ , and if attached to a polystyrene,  
 30 polyimide, polyamic acid or polyamic acid ester backbone also  
 $-O-(CH_2)_r-$ ,  $-CO-O-(CH_2)_r-$ ,  $-CO-NH-(CH_2)_r-$ ,  $-O-CO-(CH_2)_r-$ ,  
 $-O-CO-(CH_2)_r-CO-O-$ ,  $-O-(CH_2)_r-O-CO-$ ,  $-O-(CH_2)_r-CO-NH-$ ,  
 $-O-(CH_2)_r-NH-CO-$ ,  $-CO-O-(CH_2)_r-O-$ ,  $-CO-NH-(CH_2)_r-O-$ ,  $-O-(CH_2)_r-O-$ ,

$-O-(CH_2)_r-NH-CO-(CH_2)_s-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-O-CO-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-CO-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-O-(CH_2)_r-NH-CO-(CH_2)_s-O-$ ,  $-O-CO-(CH_2)_r-NH-CO-(CH_2)_s-O-$ , wherein  $r$   
 and  $s$  are each an integer from 2 to 12 and the sum of  $r + s \leq 15$ .

5

Most preferred "spacer units" of  $S^1$  are a single bond,  $-CH_2-$ , a  
 straight-chain alkylene grouping represented by  $-(CH_2)_r-$ , and  
 also  $-(CH_2)_r-O-$ ,  $-(CH_2)_r-CO-O-$ ,  $-(CH_2)_r-O-CO-$ ,  
 $-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-(CH_2)_r-NH-CO-O-(CH_2)_s-O-$ , and if attached to  
 10 a polystyrene, polyimide, polyamic acid or polyamic acid ester  
 backbone also  $-O-(CH_2)_r-$ ,  $-CO-O-(CH_2)_r-$ ,  $-O-CO-(CH_2)_r-$ ,  
 $-O-CO-(CH_2)_r-CO-O-$ ,  $-O-(CH_2)_r-O-CO-$ ,  $-CO-O-(CH_2)_r-O-$ ,  
 $-O-(CH_2)_r-O-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-O-CO-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-CO-O-(CH_2)_r-O-(CH_2)_s-O-$ , wherein  $r$  and  $s$  are each an integer  
 15 from 2 to 8 and the sum of  $r + s \leq 8$ .

Examples of preferred "spacer units"  $S^1$  are 1,2-ethylene,  
 1,3-propylene, 1,4-butylene, 1,5-pentylene, 1,6-hexylene,  
 1,7-heptylene, 1,8-octylene, 1,9-nonylene, 1,10-decylene,  
 20 1,11-undecylene, 1,12-dodecylene, 3-methyl-1,4-butylene,  
 3-propylene-oxy, 3-propyleneoxycarbonyl, 2-ethylene-carbonyloxy,  
 4-butylene-oxy, 4-butyleneoxy-carbonyl, 3-propylene-carbonyloxy,  
 5-pentyleneoxy, 5-pentyleneoxycarbonyl, 4-butylene-carbonyl-oxy,  
 6-hexyleneoxy, 6-hexylene-oxycarbonyl, 5-pentylene-carbonyloxy,  
 25 7-heptyleneoxy, 7-heptylene-oxy-carbonyl,  
 6-hexylene-carbonyloxy, 8-octyleneoxy, 8-octyleneoxycarbonyl,  
 7-heptylene-carbonyl-oxy, 9-nonyleneoxy, 9-nonyleneoxycarbonyl,  
 8-octylene-carbonyloxy, 10-decylene-oxy,  
 10-decylene-oxy-carbonyl, 9-nonylene-carbonyloxy,  
 30 11-undecyleneoxy, 11-undecylene-oxy-carbonyl,  
 10-decylene-carbonyloxy, 12-dodecyleneoxy,  
 12-dodecylene-oxy-carbonyl, 11-undecylene-carbonyloxy,  
 3-propyleneimino-carbonyl, 4-butyleneimino-carbonyl,

5-pentyleneimino-carbonyl, 6-hexyleneimino-carbonyl,  
 7-heptyleneimino-carbonyl, 8-octyleneimino-carbonyl,  
 9-nonyleneimino-carbonyl, 10-decyleneimino-carbonyl,  
 11-undecyleneimino-carbonyl, 12-dodecyleneimino-carbonyl,  
 5 2-ethylene-carbonyl-imino, 3-propylene-carbonyl-imino,  
 4-butylene-carbonyl-imino, 5-pentylene-carbonyl-imino,  
 6-hexylene-carbonyl-imino, 7-heptylene-carbonyl-imino,  
 8-octylene-carbonyl-imino, 9-nonylene-carbonyl-imino,  
 10-decylene-carbonyl-imino, 11-undecylene-carbonyl-imino,  
 10 6-(3-propylene-imino-carbonyl-oxy)-hexylene,  
 6-(3-propylene-oxy)-hexylene, 6-(3-propylene-oxy)-hexyleneoxy,  
 6-(3-propylene-imino-carbonyl-oxy)-hexyleneoxy,  
 6-(3-propylene-imino-carbonyl)hexyl,  
 6-(3-propyleneimino-carbonyl)hexyloxy, 1,2-ethylene--dioxy,  
 15 1,3-propylenedioxy, 1,4-butylenedioxy, 1,5-pentylene-dioxy,  
 1,6-hexylene-dioxy, 1,7-heptylenedioxy, 1,8-octylenedioxy,  
 1,9-nonylenedioxy, 1,10-decylene--dioxy, 1,11-undecylenedioxy,  
 1,12-dodecylene-dioxy and the like.

20

Preferred groups for  $Z^1$  and  $Z^2$  are a single covalent bond or a  
 straight-chain or branched alkylene residue which is  
 unsubstituted, mono-substituted or poly-substituted by fluoro,  
 having 1 to 8 carbon atoms, wherein one or more non adjacent  $CH_2$   
 25 groups may independently be replaced by -O-, -CO-, -CO-O-,  
 -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-,  
 -C≡C-, -O-CO-O-, with the proviso that -CH=CH- and -C≡C- are not  
 in conjugation with aromatic rings and wherein R<sup>2</sup> represents a  
 hydrogen atom or lower alkyl.

30

More preferred groups for  $Z^1$  and  $Z^2$  are a single covalent bond or  
 a straight-chain or branched alkylene residue, having 1 to 6  
 carbon atoms, wherein one or more non adjacent  $CH_2$  groups may

independently be replaced by -O-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-, -O-CO-O-, with the proviso that -CH=CH- and -C≡C- are not in conjugation with aromatic rings.

- 5 Most preferred groups for Z<sup>1</sup> and Z<sup>2</sup> are a single covalent bond or a straight-chain or branched alkylene residue, having 1 to 4 carbon atoms, wherein one or two non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-.
- 10 Preferably R<sup>1</sup> is a hydrogen atom, a fluoro atom, a chloro atom, a cyano group or a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by fluoro, having 1 to 18 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced
- 15 by -O-, -CO-, -CO-O-, -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, with the proviso that -CH=CH- and -C≡C- are not attached directly to an aromatic ring and wherein R<sup>2</sup> represents a hydrogen atom or lower alkyl.
- 20 More preferred groups of R<sup>1</sup> are a hydrogen atom, a fluoro atom, a chloro atom, a cyano group or a straight-chain or branched alkyl residue which is unsubstituted, having 1 to 12 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-, -O-CO-O-,
- 25 with the proviso that -CH=CH- and -C≡C- are not attached directly to an aromatic ring.

It is preferred that n and m are each chosen such that the total

30 number of rings in the substructure of formula I are 2-5 including the number of rings in a condensed system.

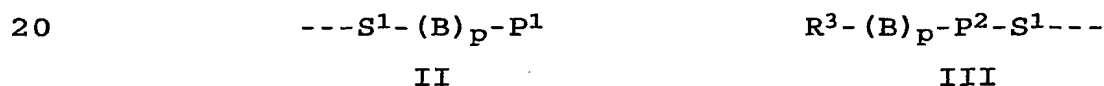
More preferred are substructures of formula I where m and n are

chosen such that the total number of rings including the rings in a condensed system are 3 or 4.

- 5 It is preferred that  $q$  is 1 except where ring  $A^1$  is chosen from a radical of a di- or triterpene or a steroid. In the latter case  $q$  has the value of 0.

10 The side chain copolymer of the invention comprises in addition to the side chains of formula I side chains incorporating photoreactive groups which are able to undergo photocyclisation. Such side chains are commonly used in polymers for photoorientation and are known to the person skilled in the art, e.g. from EP-A-0611786, WO-A-96/10049, EP-A-0763552, 15 EP-A-0860455, WO-A-00/59966 and PCT/EP00/06788.

Preferably such side chains incorporating photoreactive groups are substructures of the general formula II or III



wherein

- 25 the broken line indicates the linkage to the polymer main chain;

$S^1$  has the meaning given above;

- 30 B denotes an aromatic or alicyclic group which is unsubstituted or substituted by fluorine, chlorine, cyano or by a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms

and wherein one or more non adjacent  $\text{CH}_2$  groups may independently be replaced by Q, where Q and  $\text{R}^2$  have the meaning given above;

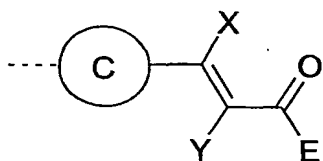
5  $\text{P}^1$ ,  $\text{P}^2$  signify photoreactive groups;

10  $\text{R}^3$  is a hydrogen atom, or a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms, wherein one or more  $\text{CH}_2$  groups may independently be replaced by Q, where Q and  $\text{R}^2$  have the meaning given above; and

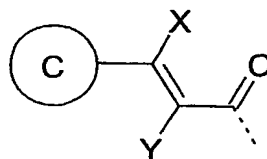
15 p is 0 or 1.

Preferred photoreactive groups  $\text{P}^1$  and  $\text{P}^2$  comprise groups which undergo a photocyclisation and have the general formula IV and V respectively

20



IV



V

25 wherein

the broken line indicates the linkage to B or  $\text{S}^1$  respectively;

30 C represents phenylene which is unsubstituted or substituted by fluorine, chlorine or cyano, or by a cyclic, straight-chain or branched alkyl residue which is

unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, where Q and R<sup>2</sup> have the meaning given above, or C further represents pyrimidine-2,5-diyl, pyridine-2,5-diyl, 2,5-thiophenylene, 2,5-furanylene, or 1,4- or 2,6-naphthylene;

E represents -OR<sup>4</sup>, -NR<sup>5</sup>R<sup>6</sup>, or an oxygen atom linked to ring C in the *ortho*- position to form a coumarin unit wherein R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> are a hydrogen atom or a cyclic, straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by halogeno, or poly--substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -CH=CH-, with the proviso that oxygen atoms are not directly attached to each other, or R<sup>5</sup> and R<sup>6</sup> are linked together to form an alicyclic ring with 5 to 8 atoms; and

X, Y each independently of the other represents hydrogen, fluorine, chlorine, cyano, alkyl optionally substituted by fluorine having from 1 to 12 carbon atoms in which optionally one or more non-adjacent CH<sub>2</sub> groups are replaced by -O-, -CO-O-, -O-CO- and/or -CH=CH-.

More preferred groups P<sup>1</sup> and P<sup>2</sup> have the general formula IV and V respectively wherein:

C represents phenylene which is unsubstituted or substituted by a straight-chain or branched alkyl residue which is unsubstituted or mono- or polysubstituted by fluorine,



having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -CH=CH-, or C further represents 2,5-furanylene, 1,4- or 2,6-naphthylene;

5

E represents -OR<sup>4</sup> or -NHR<sup>5</sup>, wherein R<sup>4</sup> and R<sup>5</sup> are a cyclic, straight-chain or branched alkyl residue which is unsubstituted or mono- or polysubstituted by fluorine, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-; and

10

X, Y are hydrogen atoms.

15 The polymer backbones of the copolymer according to the invention, which the side chains of formula I and the photoreactive side chains are attached to, are those which are generally used for photoaligning polymers and which are well known to a person skilled in the art. Examples have been  
20 published e.g. in EP-A-0611786, WO-A-96/10049, EP-A-0763552, EP-A-0860455, WO-A-99/15576 and WO-A-00/59966.

Preferred polymer backbones in the present invention are polymers formed from acrylate, methacrylate, 2-chloroacrylate, 2-phenylacrylate, optionally N-lower alkyl substituted  
25 acrylamide, methacrylamide, 2-chloroacrylamide, 2-phenylacrylamide, vinyl ether, vinyl ester, styrene derivatives, siloxanes, imides, amic acids and their esters, amidimides, maleic acid derivatives, fumaric acid derivatives.

30

More preferred are polymers formed from acrylate, methacrylate, optionally N-lower-alkyl-substituted acrylamide, methacrylamide, vinyl ether, vinyl ester, styrene derivatives, imides, amic

acids and their esters and amidimides.

Especially preferred are polymers formed from acrylate, methacrylate, optionally N-lower-alkyl-substituted acrylamide, methacrylamide, vinyl ether, vinyl ester, and styrene derivatives.

Most preferred are polyacrylate, polymethacrylate, polyvinl ether and polyvinyl ester.

10

The proportion of the side groups of formula I relative to the photoreactive side groups in a copolymer according to the invention is usually < 50 %, preferably < 25 %, and most preferred < 20 %.

15

The inventive copolymer can be used alone or preferably in mixtures with at least one other photoreactive polymer or copolymer. A further aspect of the invention therefore comprises photoreactive mixtures which contain a copolymer of the present invention. Mixing partners are components known for photoaligning properties, for instance as exemplified in EP-A-0611786, WO-A-96/10049, EP-A-0763552, EP-A-0860455, WO-A-99/15576, WO-A-00/59966 and PCT/EP00/06788.

25

Preferred components for mixtures together with the copolymer of the invention are photoreactive polymers based on acrylate, methacrylate, optionally N-lower-alkyl-substituted acrylamide, methacrylamide, vinyl ether, vinyl ester, styrene derivatives, imides, amic acids and their esters and amidimides where the side chains contain as photoreactive groups substructures of formula II or III.

30

More preferred components for mixtures together with the copolymers of the invention are photopolymers based on imides, amic acids and amic acid esters incorporating cinnamic acid or coumarin derivatives as photoreactive side chains.

5

Most preferred are mixtures containing a copolymer of the present invention based on polyacrylate, polymethacrylate, polyvinyl ether and polyvinyl ester together with a photoreactive polymer based on polyimide, polyamic acid and polyamic acid ester.

10

The content of the copolymer according to the invention in the mixtures can vary in a broad range, depending on the miscibility of the components. Preferred are < 25 wt%, more preferred < 15 wt%, most preferred < 10 wt% of the copolymer.

15

The polymer of formula I is characterized by being readily accessible. It may be prepared using methods that are known to a person skilled in the art.

20

The inventive copolymer, with acrylate, methacrylate, acrylamide, methacrylamide and styrene derivative as repeating monomer unit, can be prepared in principle according to two different processes. In addition to the direct polymerization of pre-finished monomers there exists the possibility of polymer-

25

analogous reaction of reactive photoactive derivatives with functional polymers.

For the direct polymerization, the monomers and the comonomers are firstly prepared separately from the individual components.

30

The formation of the copolymers is subsequently effected in a manner known per se under the influence of UV radiation or heat or by the action of radical or ionic catalysts. Potassium peroxodisulfate, dibenzoyl peroxide, azobisisobutyronitrile or

di-tert-butyl peroxide are examples of radical initiators. Ionic catalysts are alkali-organic compounds such as phenyllithium or naphthylsodium or Lewis acids such as  $\text{BF}_3$ ,  $\text{AlCl}_3$ ,  $\text{SnCl}_3$  or  $\text{TiCl}_4$ . The monomers can be polymerized in solution, suspension,  
5 emulsion or substance.

In the second process a polymer can also be produced in a polymer-analogous reaction from a pre-finished functional polymer and suitable functionalized side-chain derivatives. Many  
10 known processes such as, for example, esteri-fication, trans-esterification, amidation or the etherification are suitable for polymer-analogous reactions.

Such copolymers have a molecular weight MW between 1 000 and  
15 5 000 000, preferably however between 5 000 and 2 000 000, especially advantageously however between 10 000 and 1 000 000.

The preparation of the polyamic acids and polyimides according to the invention is generally carried out analogously to the  
20 methods frequently described in the polyimide literature, for example in Plast. Eng. 36 (1996) (Polyimides, fundamentals and applications).

For example, the polycondensation reaction for the preparation  
25 of the polyamic acids is carried out in solution in a polar aprotic organic solvent, such as  $\gamma$ -butyrolactone, *N,N*-dimethylacetamide, *N*-methylpyrrolidone or *N,N*-dimethylformamide. In most cases equimolar amounts of the dianhydride and the diamine are used, that is to say one amino group per anhydride  
30 group. If it is desired to stabilize the molecular weight of the polymer, it is possible for that purpose to add an excess or a less-than-stoichiometric amount of one of the two components or to add a monofunctional compound in the form of a dicarboxylic

acid monoanhydride or in the form of a monoamine. Examples of such monofunctional compounds are maleic acid anhydride, phthalic acid anhydride, aniline and so on. The reaction is carried out preferably at a temperature of less than 100 °C.

5

For the preparation of mixtures containing comparatively apolar polymers like polyacrylates, polymetacrylates, polystyrols with polar polymers like polyamic acids, polyimides and polyamic acid esters, the above polycondensation reaction can also be performed in the presence of the less polar polymer.

10

The cyclisation of the polyamic acids to form the polyimides alone or in mixture with other types of polymers can be carried out by heating, that is to say by condensation with removal of water or by other imidisation reactions with reagents. When carried out purely thermally, the imidisation of the polyamic acids is not always complete, that is to say the resulting polyimides may still contain proportions of polyamic acid. The imidisation reactions are generally carried out at a temperature of from 60 to 250 °C, but preferably at less than 200 °C. In order to achieve imidisation at rather lower temperatures there are additionally mixed into the reaction mixture reagents that facilitate the removal of water. Such reagents are, for example, mixtures consisting of acid anhydrides, such as acetic acid anhydride, propionic acid anhydride, phthalic acid anhydride, trifluoroacetic acid anhydride, and tertiary amines, such as triethylamine, trimethylamine, tributylamine, pyridine, N,N-dimethylaniline, lutidine, collidine etc.. The amount of reagents used in that case is preferably at least two equivalents of amine and four equivalents of acid anhydride per equivalent of polyamic acid to be condensed.

25  
30

The imidisation reaction can be carried out before or

alternatively only after application to a support. The latter variant is preferred especially when the polyimide in question has poor solubility in the customary solvents.

- 5 Polyamic acids and polyimides according to the present invention have an intrinsic viscosity preferably in range of 0.05 to 10 dL/g, more preferably 0.05 to 5 dL/g. Herein, the intrinsic viscosity ( $\eta_{inh} = \ln \eta_{rel}/C$ ) is determined by measuring a solution containing a polymer in a concentration of 0.5 g/100 ml for  
10 its viscosity at 30 °C using *N*-methyl-2-pyrrolidone as solvent.

The number of monomer building blocks from which the polymer chains according to the invention are synthesized can vary within a wide range. It is generally from 2 to 2000, but  
15 especially from 3 to 200.

The copolymer and the polymer mixtures according to the invention may contain additives such as silane-containing compounds and epoxy-containing crosslinking agents for further improving  
20 the adhesion of the polymer to a substrate. Example for silane adhesion promoters were described in the literature, for example Plast. Eng. 36 (1996) (Polyimides, fundamentals and applications). The above epoxy-containing crosslinking agent preferably includes 4,4'-methylene-bis-(*N,N*-diglycidylaniline), tri-  
25 methylolpropane triglycidyl ether, benzene-1,2,4,5-tetracarboxylic acid 1,2:4,5-*N,N'*-diglycidyl diimide, polyethylene glycol diglycidyl ether, *N,N*-diglycidyl-cyclohexylamine and the like.

- 30 The copolymer and the polymer mixtures according to the invention may contain additives such as a photosensitizer, a photoradical generator and/or a cationic photoinitiator. Example for such additives were 2,2-dimethoxy-phenylethanone, mixture of

diphenylmethanone and N,N-dimethylbenzenamine or ethyl 4-(dimethylamino)benzoate, xanthone, thioxanthone, IRGACURE™ 184, 369, 500, 651 and 907 (Ciba), Michler's ketone, triaryl sulfonium salt and the like.

5

The copolymer and the polymer mixtures according to the invention can be applied to a support and, after any imidisation step which may be necessary, crosslinked by irradiation with linearly polarized light, that is to say by cycloaddition of  
10 their side-chains containing the photoreactive group, there being obtained, depending upon the direction of polarization of the light radiated in, a preferred direction of orientation and of the angle of tilt for liquid crystals that are brought into contact with the orientation layer. By spatially selective  
15 irradiation of the molecular units according to the invention it is hence possible for very specific regions of a surface to be aligned and provided with a defined angle of tilt. At the same time the orientation layer so produced is also stabilized by the cycloaddition.

20

The present invention therefore also relates to the use of the polymer according to the invention as orientation layer for liquid crystals, and to their use in liquid crystal cells of displays, and for layers of optical constructional elements,  
25 especially in the production of hybrid layer elements.

Such orientation layers can be produced, for example, by first preparing a solution of the polymer material, which is then applied to a support such that homogeneous layers of preferably  
30 0.05 to 50  $\mu\text{m}$  thickness are produced. The support may optionally be provided with further constructional elements such as an electrode layer (for example a glass plate coated with indium-tin oxide (ITO)). For applying the solution of the polymer

material, different coating techniques may be used like spincoating, miniscuscoating, wirecoating, slotcoating, offsetprinting, flexoprinting, gravurprinting. Then, optionally after prior imidisation, the regions to be oriented can be irradiated, for example, with a high-pressure mercury vapour lamp, a xenon lamp or a pulsed UV laser, using a polarizer and optionally a mask for creating patterns or structures. The irradiation time is dependent upon the material and the output of the light source used. The dimerisation can also be carried out by irradiation of the homogeneous layer using filters that, for example, allow only the radiation suitable for the cross-linking reaction to pass through.

Further aspects of the present invention are:

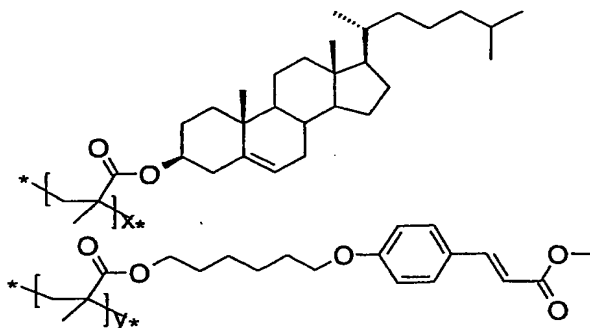
- a) Optical or electro-optical devices having at least one orientation layer, characterized in that the orientation layer contains photoreactive copolymers incorporating side chains of formula I; and
- b) Use of photoreactive copolymers incorporating side chains of formula I in the construction of unstructured and structured optical elements and multi-layer systems.

The polymer in accordance with the invention is illustrated in more detail by the following Examples. In the Examples  $T_g$  signifies the glass temperature. Relative molecular weights ( $M_n$ ) were determined by gel permeation chromatography (GPC) at 35°C using THF as solvent with polystyrene standard.



Example 1

Poly-cholesteryl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:4)



A mixture of 0.115 g (0.25 mmol) cholesteryl-2-methacrylate and 0.35 g (1.01 mmol) 6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (preparation according to EP-A-0763552) and 3.1 mg (0.032 mmol)  $\alpha,\alpha'$ -azobicyclohexanecarbonitrile (ACN) in 3.2 ml dry dimethylformamide (DMF) was degassed in a sealable tube. The tube was then sealed under argon and stirred at 80 °C for 15 h. Then the reaction mixture was diluted with 5 ml tetrahydrofuran (THF), precipitated into 400 ml methanol and collected by filtration. The polymer was reprecipitated from THF (4.0 ml) into 300 ml methanol to yield 0.35 g poly-(cholesteryl-2-methacrylate)-co-6-[4-(2-methoxycarbonylvinyl)-phenyloxy]-hexyl-2-methacrylate (1:4) as a white solid;  $M_n = 1.85 \times 10^5$ ,  $pdi = 4.23$ .

The cholesteryl-2-methacrylate used as starting material was prepared in accordance with the following procedure:

To a solution of 2 g (5.2 mmol) cholesterol, 0.49 g (5.7 mmol) 2-methacrylic acid and 0.09 g N,N-dimethylaminopyridine in 50 ml  $CH_2Cl_2$  at 10 °C a solution of 1.5 g dicyclohexylcarbodiimide (DCC) in 20 ml  $CH_2Cl_2$  was slowly added. The solution was then

stirred during 15 h at room temperature, then filtered and the solution subsequently evaporated to dryness. Chromatography of the residue over silicagel with ethylacetate/hexane (1:9) gave 1.2 g of the acrylate as a colorless solid.

5

The following compounds were prepared in an analogous manner:

- Poly-cholesteryl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:9),  
 $M_n = 2.3 \times 10^5$ ,  $pdi = 2.47$ ;
- Poly-2-(cholesterylcarbamoylethyl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:4);
- Poly-cholesteryl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)benzoyloxy]hexyl-2-methacrylate (1:4),  
 $M_n = 7.6 \times 10^4$ ,  $pdi = 2.46$ ;
- Poly-cholesteryl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)benzoyloxy]hexyl-2-methacrylate (1:9)  
 $M_n = 3.7 \times 10^5$ ,  $pdi = 7.03$ ;
- Poly-cholesteryl-2-methacrylate-co-6-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:5);
- Poly-cholesteryl-2-methacrylate-co-6-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:9);
- Poly-6-(cholesteryl)hexyl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:9);
- Poly-6-(cholesteryl)hexyl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:9);
- Poly-all trans-[2-(phenyl)decahydro-6-naphthyl]methyl-co-6-[4-(2-methoxycarbonylvinyl)phenyloxy]hexyl-2-methacrylate (1:4);
- Poly-all trans-[2-(phenyl)decahydro-6-naphthyl]methyl-co-6-[4-(2-methoxycarbonylvinyl)benzoyloxy]hexyl-2-methacrylate (1:4);

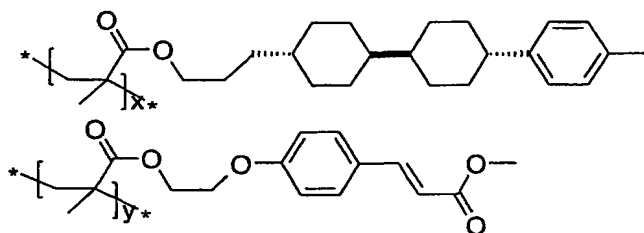
Poly-*all trans*-[6-[2-(phenyl)decahydro-6-naphthyl]methyloxy]hexyl-co-6-[4-(2-methoxy-car-bonyl-vinyl)benzoyloxy]hexyl-2-methacrylate (1:4).

5

### Example 2

Poly-3-[(E)-4-[(E)-4-(4-Methylphenyl)cyclohexyl]cyclohexyl]propyl-2-methacrylate-co-2-[4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:3)

10



15

20

25

A mixture of 0.31 g (0.8 mmol) 3-[trans-4-[trans-4-(4-methylphenyl)cyclohexyl]cyclohexyl]propyl-2-methacrylate and 0.7 g (2.41 mmol) 2-[4-(2-methoxycarbonyl-vinyl)-phenyloxy]ethyl-2-methacrylate (preparation according to EP-A-0763552) and 5.3 mg (0.032 mmol)  $\alpha,\alpha'$ -azoisobutyronitrile (AIBN) in 19 ml dry tetrahydrofuran (THF) was degassed in a sealable tube. The tube was then sealed under argon and stirred at 57 °C for 15 h. The polymer was precipitated into 500 ml diethyl ether and collected by filtration. The polymer was reprecipitated from THF (6.0 ml) into 300 ml methanol to yield 0.58 g (80%) poly-3-[(E)-4-[(E)-4-(4-methylphenyl)cyclohexyl]cyclohexyl]propyl-2-methacrylate-co-2-[4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:3) as a white solid;  $M_n = 8.15 \times 10^4$ ,  $pdi = 2.16$ .

The 3-[trans-4-[trans-4-(4-methylphenyl)cyclohexyl]cyclohexyl]-propyl-2-methacrylate used as starting material was prepared in accordance with the following procedure:

To a solution of 3 g (9.54 mmol) 3-[*trans*-4-[*trans*-4-(4-methylphenyl)cyclohexyl]cyclohexyl]propanol, prepared according to US 5,454,974, 1.94 g 2-methacrylic acid and 0.33 g N,N-dimethylaminopyridine in 60 ml CH<sub>2</sub>Cl<sub>2</sub> at 0 °C a solution of 5.5 g dicyclohexylcarbodiimide (DCC) in 20 ml CH<sub>2</sub>Cl<sub>2</sub> was slowly added. The solution was then stirred during 72 h at room temperature. Then the organic phase was filtered washed with water, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and evaporated to dryness. Chromatography of the residue over silicagel with hexane/ether (19:1) gave 2.73 g of the acrylate as a colorless solid.

The following compounds were prepared in an analogous manner:

Poly-3-[*trans*-4-[*trans*-4-(4-methylphenyl)cyclohexyl]cyclohexyl]-propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:3),

$M_n = 9.1 \times 10^4$ ,  $pdi = 2.08$ ;

Poly-3-[*trans*-4-[*trans*-4-(4-methylphenyl)cyclohexyl]cyclohexyl]-propyl-2-methacrylate-co-2-[4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:9);

Poly-3-[*trans*-4-[*trans*-4-(4-methylphenyl)cyclohexyl]cyclohexyl]-propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:9),

$M_n = 9.8 \times 10^4$ ,  $pdi = 2.58$ ;

Poly-3-[*trans*-4-[*trans*-4-(4-methylphenyl)cyclohexyl]cyclohexyl]-propyl-2-methacrylate-co-6-[4-(2-methoxycarbonylvinyl)benzoyloxy]hexyl-2-methacrylate (1:9);

Poly-3-[*trans*-4-[-4-(4-cyanophenyl)phenyl]cyclohexyl]propyl-2-methacrylate-co-2-[4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:3),

$M_n = 8.75 \times 10^4$ ,  $pdi = 2.27$ ;

Poly-3-[*trans*-4-[-4-(4-cyanophenyl)phenyl]cyclohexyl]propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyl-

oxy]ethyl-2-methacrylate (1:3),

$M_n = 7.9 \times 10^4$ ,  $pdi = 2.17$ ;

Poly-3-[*trans*-4-[*trans*-4-(3,4-difluorophenyl)cyclohexyl]cyclohexyl]propyl-2-methacrylate-co-2-[4-(2-methoxycarbonyl-

5 vinyl)phenoxy]ethyl-2-methacrylate (1:3),

$M_n = 7.4 \times 10^4$ ,  $pdi = 2.29$ ;

Poly-3-[*trans*-4-[*trans*-4-(3,4-difluorophenyl)cyclohexyl]cyclohexyl]propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenoxy]ethyl-2-methacrylate (1:3),

10  $M_n = 8.5 \times 10^4$ ,  $pdi = 2.14$ ;

Poly-3-[*trans*-4-[*trans*-4-(3,4-difluorophenyl)cyclohexyl]cyclohexyl]-propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenoxy]ethyl-2-methacrylate (1:9);

Poly-3-[*trans*-4-(4-methoxyphenyl)cyclohexyl]propyl-2-  
15 methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyl-  
oxy]ethyl-2-methacrylate (1:3);

Poly-3-[*trans*-4-(4-methoxyphenyl)cyclohexyl]propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyl-  
oxy]ethyl-2-methacrylate (1:9).

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### Example 3

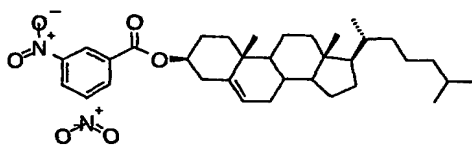
#### **Polyimide**

25 0.199 g (1.02 mmol) of 1,2,3,4-cyclobutanetetracarboxylic acid dianhydride was added to a solution of 0.059 g (0.113 mmol) of 3,5-diaminobenzoic acid cholesteryl ester and 0.45 g (1.02 mmol) of 3,5-diaminobenzoic acid 6-[2-methoxy-4-(2-methoxycarbonylvinyl)phenoxy]hexyl ester in 3.5 ml of tetrahydrofuran.  
30 Stirring was then carried out at 23 °C for 2 hours. Then another 0.022 g (0.113 mmol) of 1,2,3,4-cyclobutanetetracarboxylic acid dianhydride were added. The mixture was subsequently allowed to react for 22 hours at room temperature. The polymer mixture was

diluted with 3.5 ml THF, precipitated into 200 ml diethyl ether and collected by filtration. The polymer was reprecipitated from THF (10 ml) after filtration into 600 ml water to yield, after drying at room temperature under vacuum, 0.687 g of polyamic  
5 acid in the form of a beige powder;  $[\eta] = 0.57$  dL/g.

The 3,5-diaminobenzoic acid cholesteryl ester and the 3,5-diaminobenzoic acid 6-[2-methoxy-4-(2-methoxycarbonylvinyl)phenoxy]hexyl ester used as starting  
10 material were prepared in accordance with the following procedure:

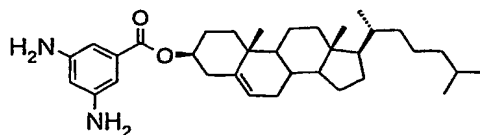
### 3,5-Dinitrobenzoic acid cholesteryl ester



15

3.89 g (10.1 mmol) Cholesterol, 2.44 g (10.5 mmol) 3,5-dinitrobenzoyl chloride, 12.3 mg 4-dimethylaminopyridine were dissolved in 76 ml dichloromethane. The solution was  
20 subsequently cooled to 0 °C and then 4.1 ml pyridine was added dropwise, in the course of 25 minutes. After 4.75 hours at 0 °C the reaction mixture was partitioned between dichloromethane and water; the organic phase was washed with a sat. solution of sodium bicarbonate and repeatedly with water, dried over sodium  
25 sulfate, filtered and concentrated by rotary evaporation. Chromatography of the residue on 100 g silica gel using Toluene yielded 5.56 g (95%) 3,5-dinitrobenzoic acid cholesteryl ester as yellow powder.

30

**3,5-Diaminobenzoic acid cholesteryl ester**

5.54 g (9.54 mmol) of 3,5-dinitrobenzoic acid cholesteryl ester and 2.0 g (37.39 mmol) of ammonium chloride were suspended in 80 ml of a mixture consisting of methanol : water 9:1. 12.48 g (191 mmol) of zinc powder were then added in one portion and the resulting mixture stirred at 40 °C. After 4.5 hour 20 ml of another 20 ml of methanol : water 9:1 were added to the thick suspension and the reaction allowed to continue during 4.25 hours. The reaction mixture was then cooled to room temperature diluted with 100 ml methanol/water (9:1) and then partitioned between dichloromethane and water. The organic phase was washed with a saturated sodium bicarbonate solution and repeatedly with water, then dried over sodium sulfate, filtered and concentrated by evaporation. Chromatography of the residue on 100 g of silica gel using toluene/ethyl acetate (7:3) gave after drying under high vacuum 2.82 g of a pasty compound.

**Example 4****Preparation of cell with high tilt**

A two percent by weight solution of the photoreactive copolymer Poly-3-[*trans*-4-[*trans*-4-(4-methylphenyl)cyclohexyl]cyclohexyl]propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonylvinyl)phenyloxy]ethyl-2-methacrylate (1:3) (preparation see Example 1) was prepared using cyclopentanone as a solvent. The solution was stirred for 30 minutes at room temperature.

This solution was spin-coated at 2000 rpm onto two ITO (indium-tin-oxide) coated glass plates, which were then dried for 30 minutes at 150 °C.

- 5 Both substrates were subsequently exposed for one minute to the polarized ultraviolet light of a 350 W high pressure mercury lamp. The intensity of the uv-light at the substrates position was measured as 1.1 mW/cm<sup>2</sup>.
- 10 With the coated sides facing inwards, the two plates were assembled into a parallel liquid crystal cell using 20 µm thick glass rods as spacers. The cell was filled with the nematic liquid crystal mixture MLC12000-000 (Merck) at 105 °C, which is well above the clearing temperature ( $T_c = 90$  °C) of the liquid
- 15 crystal mixture. The cell was subsequently cooled down to 95 °C with a cooling rate of 3 °C/minute, from 95 °C to 85 °C with a cooling rate of 0.3 °C/min. and from 85 °C to 25 °C with a cooling rate of 5 °C/min. Above cooling procedure was applied to allow stress-free alignment of the liquid crystal mixture while
- 20 passing the clearing temperature. When the cell was investigated with a polarizing microscope, the alignment quality was found to be excellent. With a tilting compensator which was introduced in the microscope the alignment of the long axis of the liquid crystal molecules was found to be parallel to the polarization
- 25 direction of the uv-light which was used to photoalign the photopolymer.

The tilt angle generated in the liquid crystal material by the photoaligned layer was measured using the standard crystal

30 rotation method. The commercial instrument TBA105 from Autronics Melchers was used for that purpose. The pretilt angle was evaluated to 89.1° respective to the surface plane.



**Example 5****Preparation of cell with medium tilt**

Following exactly the procedure of Example 4 a second parallel  
5 cell was prepared. However, different to Example 4, a two  
percent by weight solution of the photoreactive copolymer Poly-  
3-[*trans*-4-[*trans*-4-(3,4-difluorophenyl)cyclohexyl]cyclohexyl]-  
propyl-2-methacrylate-co-2-[2-methoxy-4-(2-methoxycarbonyl-  
vinyl)phenoxy]ethyl-2-methacrylate (1:3) from Example 1 in  
10 cyclopentanone was used.

This solution was coated onto both substrates which were  
subsequently photoaligned using the conditions of Example 4.

15 From the crystal rotation measurement a pretilt angle of 49.6°  
was observed.

**Example 6****20 Preparation of an orientation layer using blends**

Solution 1: A mixture of a photoreactive polyamic acid (9  
parts) of the type disclosed in WO-A-99/15576  
- also available as ROP-401 from Rolic Research  
25 Ltd., Switzerland - and poly-(cholesteryl-2-metha-  
crylate)-co-6-[4-(2-methoxycarbonyl-vinyl)-  
phenoxy]-hexyl-2-methacrylate (1:4) from Example  
1 (1 part) was added to cyclopentanone to achieve  
a totally 2 %wt solution of polymers. The mixture  
30 was then stirred at room temperature for 10 min.  
to get a clear solution.

Solution 2: A solution of photoreactive polyamic acid ROP-401 (97 parts) and of poly-(cholesteryl-2-methacrylate)-co-6-[4-(2-methoxycarbonylvinyl)-phenyloxy]hexyl-2-methacrylate (1:4) (3 parts) was prepared according to the preparation of Solution 1.

Solution 1 and Solution 2 were each filtered over a 0.2  $\mu\text{m}$  Teflon filter and applied to a glass plate, which had been coated with indium-tin oxide (ITO), in a spin-coating apparatus at 3000 rev./min. in the course of 60 seconds. The resulting film was then predried for 15 minutes at 130 °C and then imidised for 1 hour at 200 °C to form the polyimide.

The glass plate so coated was then irradiated for 15 seconds with the linearly polarized UV light of a 350 W high-pressure mercury vapor lamp. A liquid-crystalline mixture of diacrylates was then applied by spin-coating to the irradiated layer and subsequently cross-linked by isotropic UV light for 5 minutes. Under a polarization microscope, a uniaxially double-refractive layer of oriented liquid crystal molecules was observed and a contrast ratio as high as 1500:1 was measured. Using a tilt compensator it was ascertained that the direction of orientation agreed with the direction of polarization of the UV light used for the polyimide layer irradiation.

#### Example 7

Production of an orientation layer having a defined high angle of tilt

Two glass plates coated with Solution 1 according to Example 6 were irradiated for 4 minutes with linearly polarized UV light,

the direction of incidence of the light being inclined by  $40^\circ$  relative to the plate normal. The direction of polarization of the light was kept in the plane defined by the direction of incidence of the light and the plate normal. From both plates a  
5 cell of  $20\ \mu\text{m}$  spacing was built such that the illuminated surfaces were facing each other and the previous polarization directions of illumination were parallel. The cell was then filled with liquid crystal mixture MLC12000-000 from Merck at  $100\ ^\circ\text{C}$  (isotropic phase). The cell was then gradually cooled to  
10 room temperature at a rate ranging from  $0.1\ ^\circ\text{C}/\text{min}$  to  $2\ ^\circ\text{C}/\text{min}$ . Between crossed polarizers a uniformly oriented liquid crystal layer was observed. The tilt angle of this parallel cell, determined by crystal rotation method, was  $70^\circ$ .

15

**Example 8**

**Production of an orientation layer having a defined low angle of tilt**

20 Following the same procedure as in Example 7 but using Solution 2 of Example 6, a tilt angle of  $5.5^\circ$  has been achieved.

**Example 9**

25 **Optical stability of tilt angle**

The cell according to Example 8 having an orientation layer exhibiting a defined angle of tilt of  $5.5^\circ$  was subjected to photostability experiments using a focused halogen lamp. The  
30 light impact on the sample had a cut-off at  $400\ \text{nm}$  and an irradiance of  $3\ \text{W}/\text{cm}^2$ . After an irradiation time of 600 hours no significant change of the above tilt angle was observed.

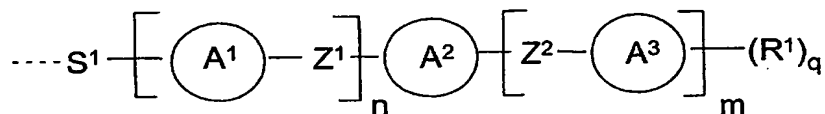
Example 10

## Determination of the voltage holding ratio (VHR)

5 Two glass plates coated with Solution 2 according to Example 6  
were irradiated perpendicularly during 4 minutes with linearly  
polarized UV light. From both plates a cell of 10  $\mu\text{m}$  spacing was  
built such that the illuminated surfaces were facing each other  
and the previous polarization directions of illumination were  
10 parallel. This cell was then maintained at 120 °C under high  
vacuum for 14 hours and thereafter filled with TFT liquid  
crystal mixture MLC12000-000 from Merck *in vacuo* at room  
temperature. Between crossed polarizers a uniformly oriented  
liquid crystal layer was observed. Prior to testing the voltage  
15 holding ratio (VHR) the cell was first subjected to ageing for  
50 hours at 120 °C. The voltage decay  $V$  (at  $T = 20$  ms) of a  
voltage surge of 64  $\mu\text{s}$  with  $V_0$  ( $V$  at  $t = 0$ ) = 0.2 V was then  
measured over a period of  $T = 20$  ms. The voltage holding ratio  
then determined, given by  $\text{VHR} = V_{\text{rms}}(t = T)/V_0$ , was 99 % at room  
20 temperature and 88 % at 80 °C.

Claims

1. A photoactive copolymer which contains side chains  
 5 incorporating photoreactive groups and simultaneously side  
 chains of the general formula I:



I

10 wherein

the total number of rings, including the number of rings in  
 optionally present condensed systems is at least two and at most  
 six; and wherein

15

the broken line symbolizes the point of linkage to the polymer  
 main chain;

20

A<sup>2</sup> represents an alicyclic ring or a condensed ring system  
 incorporating 2-5 rings, in which one or more non  
 adjacent CH<sub>2</sub> groups are optionally replaced by oxygen,  
 which is unsubstituted or substituted by fluorine or by a  
 straight-chain or branched alkyl residue which is  
 unsubstituted, mono-substituted by cyano or halogeno, or  
 25 poly-substituted by halogeno, having 1 to 18 carbon atoms  
 and wherein one or more non adjacent CH<sub>2</sub> groups may  
 independently be replaced by a group Q, where Q  
 represents -O-, -CO-, -CO-O-, -O-CO-,  
 -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NR<sup>2</sup>-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-,  
 30 -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-,  
 -O-CO-O-, and R<sup>2</sup> represents hydrogen or lower alkyl;

5 A<sup>1</sup>, A<sup>3</sup> each independently of the other represents an aromatic or alicyclic group which is unsubstituted or substituted by fluorine, chlorine, cyano or by a cyclic, straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above, with the proviso that -CH=CH-, -C≡C- are not in conjugation with aromatic rings;

15 S<sup>1</sup> represent a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 24 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above;

20 Z<sup>1</sup>, Z<sup>2</sup> represent a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above;

30 R<sup>1</sup> is a hydrogen atom, a halogen atom, a cyano group or a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms, wherein one or more non adjacent CH<sub>2</sub> groups may

independently be replaced by Q, wherein Q and R<sup>2</sup> have the meaning given above with the proviso that the groups -CH=CH-, -C≡C- are not attached directly to an aromatic ring;

5

n and m each independently are 0, 1 or 2 such that  $(m + n) \leq 2$  and

q denotes 0 or 1.

10

2. A photoactive copolymer according to claim 1, wherein A<sup>2</sup> is an unsubstituted five membered or six membered ring or an optionally substituted group of 2 to 5 condensed rings, in which one or two non adjacent CH<sub>2</sub> groups are optionally replaced by oxygen.

15

3. A photoactive copolymer according to claim 1, wherein A<sup>2</sup> is cyclopentane-1,3-diyl, cyclohexane-1,4-diyl, decalin-2,6-diyl, perhydrophenanthren-2,7-diyl, perhydroanthracen-2,6-diyl or a group incorporating a condensed ring system selected from a radical of a naturally occurring, at least bicyclic, diterpene or triterpene or from a steroid, most preferably cholesterol or cholestanol.

20

25 4. A photoactive copolymer according to claim 1, wherein A<sup>2</sup> is trans-decalin-2,6-diyl, trans,trans-perhydrophenanthren-2,7-diyl or a group incorporating a condensed ring system selected from a radical of a naturally occurring, at least bicyclic, diterpene or triterpene or from a steroid, most preferably cholesterol or cholestanol.

30

5. A photoactive copolymer according to claim 1, wherein A<sup>1</sup> and A<sup>3</sup> independently of each other are unsubstituted saturated

five or six membered alicyclic rings or six membered aromatic rings optionally substituted by fluorine or chlorine or by a straight chain or branched alkyl residue which is unsubstituted, mono- or poly-substituted, by fluorine having 1-12 carbon atoms  
 5 wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, with the proviso that -CH=CH-, -C≡C- are not in conjugation with an aromatic ring and wherein R<sup>2</sup> represents a hydrogen atom or lower alkyl.

10

6. A photoactive copolymer according to any one of claims 1 to 4, wherein the rings A<sup>1</sup> and A<sup>3</sup> are unsubstituted cyclopentane-1,3-diyl, cyclohexane-1,4-diyl or 1,4-phenylene which is unsubstituted, mono- or poly-sybstituted by fluorine or chlorine  
 15 or by a straight-chain or branched alkyl residue which is unsubstituted, mono- or poly-substituted by fluorine having 1-12 carbon atoms wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-, with the proviso that -CH=CH- and -C≡C- are not in conjugation  
 20 with aromatic rings.

7. A photoactive copolymer according to any one of claims 1 to 6, wherein S<sup>1</sup> is a single bond, -CH<sub>2</sub>- or a straight-chain or branched alkylene grouping, represented by -(CH<sub>2</sub>)<sub>r</sub>-, and also  
 25 -(CH<sub>2</sub>)<sub>r</sub>-O-, -(CH<sub>2</sub>)<sub>r</sub>-CO-, -(CH<sub>2</sub>)<sub>r</sub>-CO-O-, -(CH<sub>2</sub>)<sub>r</sub>-O-CO-, -(CH<sub>2</sub>)<sub>r</sub>-CO-NR<sup>2</sup>-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-CO-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-, -(CH<sub>2</sub>)<sub>r</sub>-O-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-CO-O-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-O-CO-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-CO-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-CO-O-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-O-(CH<sub>2</sub>)<sub>s</sub>-O-, -(CH<sub>2</sub>)<sub>r</sub>-CO-O-(CH<sub>2</sub>)<sub>s</sub>-O-, -(CH<sub>2</sub>)<sub>r</sub>-O-CO-(CH<sub>2</sub>)<sub>s</sub>-O-,  
 30 -(CH<sub>2</sub>)<sub>r</sub>-NR<sub>2</sub>-CO-(CH<sub>2</sub>)<sub>s</sub>-O-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-CO-O-(CH<sub>2</sub>)<sub>s</sub>-O-, and if attached to a polystyrene, polyimide, polyamic acid or polyamic acid ester backbone also -O-(CH<sub>2</sub>)<sub>r</sub>-, -CO-O-(CH<sub>2</sub>)<sub>r</sub>-, -O-CO-(CH<sub>2</sub>)<sub>r</sub>-, -NR<sup>2</sup>-CO-(CH<sub>2</sub>)<sub>r</sub>-, -CO-NR<sup>2</sup>-(CH<sub>2</sub>)<sub>r</sub>-, -NR<sup>2</sup>-(CH<sub>2</sub>)<sub>r</sub>-,



$-O-(CH_2)_r-CO-O-$ ,  $-O-(CH_2)_r-O-CO-$ ,  $-O-(CH_2)_r-CO-NR^2-$ ,  
 $-O-(CH_2)_r-NR^2-$ ,  $-O-(CH_2)_r-O-$ ,  $-O-(CH_2)_r-NR^2-CO-$ ,  
 $-NR^2-(CH_2)_r-CO-O-$ ,  $-NR^2-(CH_2)_r-O-$ ,  $-NR^2-(CH_2)_r-NR^2-$ ,  
 $-NR^2-(CH_2)_r-O-CO-$ ,  $-CO-NR^2-(CH_2)_r-O-$ ,  $-CO-NR^2-(CH_2)_r-NR^2-$ ,  
5  $-CO-NR^2-(CH_2)_r-O-CO-$ ,  $-O-CO-(CH_2)_r-CO-$ ,  $-O-CO-(CH_2)_r-O-$ ,  
 $-O-CO-(CH_2)_r-NR^2-$ ,  $-O-CO-(CH_2)_r-CO-O-$ ,  $-O-CO-(CH_2)_r-CO-NR^2-$ ,  
 $-O-CO-(CH_2)_r-NR^2-CO-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-$ ,  $-O-(CH_2)_r-CO-O-(CH_2)_s-$ ,  
 $-O-(CH_2)_r-NR^2-CO-(CH_2)_s-$ ,  $-O-(CH_2)_r-NR^2-CO-O-(CH_2)_s-$ ,  
 $-O-(CH_2)_r-CO-O-(CH_2)_s-O-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  
10  $-O-(CH_2)_r-NR^2-CO-(CH_2)_s-O-$ ,  $-O-(CH_2)_r-NR^2-CO-O-(CH_2)_s-O-$ ,  
 $-CO-O-(CH_2)_r-O-(CH_2)_s-$ ,  $-CO-O-(CH_2)_r-O-(CH_2)_s-O-$ , wherein  $r$  and  $s$   
are each an integer from 1 to 20, but especially from 2 to 12,  
with the proviso that  $r + s \leq 21$ , especially  $\leq 15$ , and wherein  $R^2$   
represents hydrogen or lower alkyl.

15

8. A photoactive copolymer according to anyone of claims 1  
to 6, wherein  $S^1$  is a single bond,  $-CH_2-$ , a straight-chain  
alkylene grouping represented by  $-(CH_2)_r-$ , and also  $-(CH_2)_r-O-$ ,  
 $-(CH_2)_r-CO-O-$ ,  $-(CH_2)_r-O-CO-$ ,  $-(CH_2)_r-CO-NH-$ ,  $-(CH_2)_r-NH-CO-$ ,  
20  $-(CH_2)_r-NH-CO-(CH_2)_s-$ ,  $-(CH_2)_r-NH-CO-O-(CH_2)_s-$ ,  
 $-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-(CH_2)_r-NH-CO-(CH_2)_s-O-$ ,  
 $-(CH_2)_r-NH-CO-O-(CH_2)_s-O-$ , and if attached to a polystyrene,  
polyimide, polyamic acid or polyamic acid ester backbone also  
 $-O-(CH_2)_r-$ ,  $-CO-O-(CH_2)_r-$ ,  $-CO-NH-(CH_2)_r-$ ,  $-O-CO-(CH_2)_r-$ ,  
25  $-O-CO-(CH_2)_r-CO-O-$ ,  $-O-(CH_2)_r-O-CO-$ ,  $-O-(CH_2)_r-CO-NH-$ ,  
 $-O-(CH_2)_r-NH-CO-$ ,  $-CO-O-(CH_2)_r-O-$ ,  $-CO-NH-(CH_2)_r-O-$ ,  $-O-(CH_2)_r-O-$ ,  
 $-O-(CH_2)_r-NH-CO-(CH_2)_s-$ ,  $-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-O-CO-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-CO-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-O-(CH_2)_r-NH-CO-(CH_2)_s-O-$ ,  $-O-CO-(CH_2)_r-NH-CO-(CH_2)_s-O-$ , wherein  $r$   
30 and  $s$  are each an integer from 2 to 12 and the sum of  $r + s \leq 15$ .

9. A photoactive copolymer according to any one of claims 1  
to 6, wherein  $S^1$  is a single bond,  $-CH_2-$ , a straight-chain

alkylene grouping represented by  $-(CH_2)_r-$ , and also  $-(CH_2)_r-O-$ ,  
 $-(CH_2)_r-CO-O-$ ,  $-(CH_2)_r-O-CO-$ ,  $-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-(CH_2)_r-NH-CO-O-(CH_2)_s-O-$ , and if attached to a polystyrene,  
polyimide, polyamic acid or polyamic acid ester backbone also  
5  $-O-(CH_2)_r-$ ,  $-CO-O-(CH_2)_r-$ ,  $-O-CO-(CH_2)_r-$ ,  $-O-CO-(CH_2)_r-CO-O-$ ,  
 $-O-(CH_2)_r-O-CO-$ ,  $-CO-O-(CH_2)_r-O-$ ,  $-O-(CH_2)_r-O-$ ,  
 $-O-(CH_2)_r-O-(CH_2)_s-O-$ ,  $-O-CO-(CH_2)_r-O-(CH_2)_s-O-$ ,  
 $-CO-O-(CH_2)_r-O-(CH_2)_s-O-$ , wherein  $r$  and  $s$  are each an integer  
from 2 to 8 and the sum of  $r + s \leq 10$ .

10

10. A photoactive copolymer according to any one of claims 1  
to 9, wherein at least one of  $Z^1$  and  $Z^2$  is a single covalent bond  
or a straight-chain or branched alkylene residue which is  
unsubstituted, mono-substituted or poly-substituted by fluoro,  
15 having 1 to 8 carbon atoms, wherein one or more non adjacent  $CH_2$   
groups may independently be replaced by  $-O-$ ,  $-CO-$ ,  $-CO-O-$ ,  
 $-O-CO-$ ,  $-NR^2-CO-$ ,  $-CO-NR^2-$ ,  $-NR^2-CO-O-$ ,  $-O-CO-NR^2-$ ,  $-CH=CH-$ ,  
 $-C\equiv C-$ ,  $-O-CO-O-$ , with the proviso that  $-CH=CH-$  and  $-C\equiv C-$  are not  
in conjugation with aromatic rings and wherein  $R^2$  represents a  
20 hydrogen atom or lower alkyl.

11. A photoactive copolymer according to any one of claims 1  
to 9, wherein at least one of  $Z^1$  and  $Z^2$  is a single covalent bond  
or a straight-chain or branched alkylene residue, having 1 to 6  
25 carbon atoms, wherein one or more non adjacent  $CH_2$  groups may  
independently be replaced by  $-O-$ ,  $-CO-O-$ ,  $-O-CO-$ ,  $-CH=CH-$ ,  $-C\equiv C-$ ,  
 $-O-CO-O-$ , with the proviso that  $-CH=CH-$  and  $-C\equiv C-$  are not in  
conjugation with aromatic rings.

30 12. A photoactive copolymer according to any one of claims 1  
to 9, wherein at least one of  $Z^1$  and  $Z^2$  is a single covalent bond  
or a straight-chain or branched alkylene residue, having 1 to 4  
carbon atoms, wherein one or two non adjacent  $CH_2$  groups may

independently be replaced by -O-, -CO-O-, -O-CO-.

13. A photoactive copolymer according to any one of claims 1 to 12, wherein  $R^1$  is a hydrogen atom, a fluoro atom, a chloro atom, a cyano group or a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by fluoro, having 1 to 18 carbon atoms, wherein one or more non adjacent  $CH_2$  groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, - $NR^2$ -CO-, -CO- $NR^2$ -, - $NR^2$ -CO-O-, -O-CO- $NR^2$ -, -CH=CH-, -C $\equiv$ C-, -O-CO-O-, with the proviso that -CH=CH- and -C $\equiv$ C- are not attached directly to an aromatic ring and wherein  $R^2$  represents a hydrogen atom or lower alkyl.

14. A photoactive copolymer according to any one of claims 1 to 12, wherein  $R^1$  is a hydrogen atom, a fluoro atom, a chloro atom, a cyano group or a straight-chain or branched alkyl residue which is unsubstituted, having 1 to 12 carbon atoms, wherein one or more non adjacent  $CH_2$  groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -CH=CH-, -C $\equiv$ C-, -O-CO-O-, with the proviso that -CH=CH- and -C $\equiv$ C- are not attached directly to an aromatic ring.

15. A photoactive copolymer according to any one of claims 1 to 14, wherein n and m are each chosen such that the total number of rings in the substructure of formula I are 2-5 including the number of rings in a condensed system.

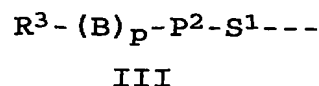
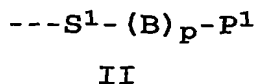
16. A photoactive copolymer according to any one of claims 1 to 14, wherein m and n are chosen such that the total number of rings including the rings in a condensed system are 3 or 4.

17. A photoactive copolymer according to any one of claims 1 to 16, wherein q is 1 except where ring A<sup>1</sup> is chosen from a radical of a di- or triterpene or a steroid.

5 18. A photoactive copolymer according to claim 17, wherein A<sup>1</sup> is a radical of a steroid and q has the value of 0.

19. A photoactive copolymer according to any one of claims 1 to 18, wherein it comprises in addition to the side chains of  
10 formula I side chains incorporating photoreactive groups which are able to undergo photocyclisation.

20. A photoactive copolymer according to claim 19, wherein the side chains incorporating photoreactive groups are  
15 substructures of the general formula II or III



20 wherein

the broken line indicates the linkage to the polymer main chain;

S<sup>1</sup> has the meaning given above;

25

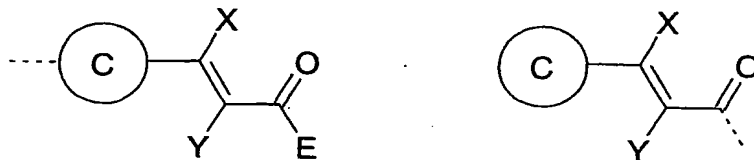
B denotes an aromatic or alicyclic group which is unsubstituted or substituted by fluorine, chlorine, cyano or by a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or  
30 poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by Q, where Q and R<sup>2</sup> have the meaning given above;

$P^1$ ,  $P^2$  signify photoreactive groups;

$R^3$  is a hydrogen atom, or a straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly--substituted by halogeno, having 1 to 18 carbon atoms, wherein one or more  $CH_2$  groups may independently be replaced by Q, where Q and  $R^2$  have the meaning given above; and

p is 0 or 1.

21. A photoactive copolymer according to claim 20, wherein  $P^1$  and  $P^2$  comprise groups which undergo a photocyclisation and have the general formula IV and V respectively



IV

V

20 wherein

the broken line indicates the linkage to B or  $S^1$  respectively;

C represents phenylene which is unsubstituted or substituted by fluorine, chlorine or cyano, or by a cyclic, straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more non adjacent  $CH_2$  groups may independently be replaced by Q, where Q and  $R^2$  have the meaning given above, or C further represents pyrimidine-

2,5-diyl, pyridine-2,5-diyl, 2,5-thiophenylene, 2,5-furanylene, or 1,4- or 2,6-naphthylene;

E represents  $-OR^4$ ,  $-NR^5R^6$ , or an oxygen atom linked to ring C in the ortho- position to form a coumarin unit wherein  $R^4$ ,  $R^5$  and  $R^6$  are a hydrogen atom or a cyclic, straight-chain or branched alkyl residue which is unsubstituted, mono-substituted by halogeno, or poly--substituted by halogeno, having 1 to 18 carbon atoms and wherein one or more  $CH_2$  groups may independently be replaced by  $-O-$ ,  $-CO-$ ,  $-CO-O-$ ,  $-O-CO-$ ,  $-CH=CH-$ , with the proviso that oxygen atoms are not directly attached to each other, or  $R^5$  and  $R^6$  are linked together to form an alicyclic ring with 5 to 8 atoms; and

15

X, Y each independently of the other represents hydrogen, fluorine, chlorine, cyano, alkyl optionally substituted by fluorine having from 1 to 12 carbon atoms in which optionally one or more non-adjacent  $CH_2$  groups are replaced by  $-O-$ ,  $-CO-O-$ ,  $-O-CO-$  and/or  $-CH=CH-$ .

20

22. A photoactive copolymer according to claim 21, wherein:

C represents phenylene which is unsubstituted or substituted by a straight-chain or branched alkyl residue which is unsubstituted or mono- or polysubstituted by fluorine, having 1 to 18 carbon atoms and wherein one or more non adjacent  $CH_2$  groups may independently be replaced by  $-O-$ ,  $-CO-$ ,  $-CO-O-$ ,  $-O-CO-$ ,  $-CH=CH-$ , or C further represents 2,5-furanylene, 1,4- or 2,6-naphthylene;

25

30

E represents  $-OR^4$  or  $-NHR^5$ , wherein  $R^4$  and  $R^5$  are a cyclic, straight-chain or branched alkyl residue which is

unsubstituted or mono- or polysubstituted by fluorine, having 1 to 18 carbon atoms and wherein one or more non adjacent CH<sub>2</sub> groups may independently be replaced by -O-; and

5

X, Y are hydrogen atoms.

23. A photoactive copolymer according to any one of claims 1 to 22, wherein the polymer backbones to which the side chains of formula I and the photoreactive side chains are attached, are those which are generally used for photoaligning polymers.

24. A photoactive copolymer according to claim 23, wherein the backbones are formed from acrylate, methacrylate, 2-chloroacrylate, 2-phenylacrylate, optionally N-lower alkyl substituted acrylamide, methacrylamide, 2-chloroacrylamide, 2-phenylacrylamide, vinyl ether, vinyl ester, styrene derivatives, siloxanes, imides, amic acids and their esters, amidimides, maleic acid derivatives, fumaric acid derivatives.

20

25. A photoactive copolymer according to claim 23, wherein the backbones are formed from acrylate, methacrylate, optionally N-lower-alkyl-substituted acrylamide, methacrylamide, vinyl ether, vinyl ester, styrene derivatives, imides, amic acids and their esters and amidimides.

25

26. A photoactive copolymer according to claim 23, wherein the backbones are formed from acrylate, methacrylate, optionally N-lower-alkyl-substituted acrylamide, methacrylamide, vinyl ether, vinyl ester, and styrene derivatives.

30

27. A photoactive copolymer according to claim 23, wherein the backbones are formed from polyacrylate, polymethacrylate, polyvinyl ether and polyvinyl ester.
- 5 28. A photoactive copolymer according to any of the claims 1 to 27, wherein the proportion of the side groups of formula I relative to the photoreactive side groups is usually < 50 %, preferably < 25 %, and most preferred < 20 %.
- \*10 29. A polymer mixture comprising at least two components, in which at least one component is a photoactive copolymer according to any of the claims 1 to 28 and at least one other component is an other photoreactive polymer or copolymer.
- 15 30. A mixture according to claim 29, wherein the other photoreactive polymer or copolymer is based on acrylate, methacrylate, optionally N-lower-alkyl-substituted acrylamide, methacrylamide, vinyl ether, vinyl ester, styrene derivatives, imides, amic acids and their esters or amidimides where the side  
20 chains contain as photoreactive groups substructures of formula II or III.
31. A mixture according to claim 29, wherein the other photoreactive polymer or copolymer is based on imides, amic  
25 acids or amic acid esters incorporating cinnamic acid or coumarin derivatives as photoreactive side chains.
32. A mixture according to claim 29, wherein the photoactive copolymer according to any of the claims 1 to 28 is based on  
30 polyacrylate, polymethacrylate, polyvinyl ether or polyvinyl ester and the other photoreactive polymer or copolymer is based on polyimide, polyamic acid or polyamic acid ester.



33. A mixture according to any of the claims 29 to 32, wherein the content of the photoactive copolymer according to any of the claims 1 to 28 is < 25 wt%, more preferred < 15 wt%, most preferred < 10 wt%.

5

34. A polymer layer comprising one or more copolymers as claimed in any one of claims 1 to 28 or a mixture as claimed in any one of claims 29 to 33 in at least partially crosslinked form.

10

35. An orientation layer for liquid crystals comprising one or more copolymers as claimed in any one of claims 1 to 28 or a mixture as claimed in any one of claims 29 to 33 in at least partially crosslinked form.

15

36. An optical or electro-optical device comprising one or more copolymers as claimed in any one of claims 1 to 28 or a mixture as claimed in any one of claims 29 to 33 in at least partially crosslinked form.

20

37. An optical constructional element comprising one or more copolymers as claimed in any one of claims 1 to 28 or a mixture as claimed in any one of claims 29 to 33 in at least partially crosslinked form.

25

38. The use of a photoactive copolymer according to any one of claims 1 to 28 or a mixture according to any one of claims 29 to 33 in an orientation layer for liquid crystals.

30

39. The use of a photoactive copolymer according to any one of claims 1 to 28 or a mixture according to any one of claims 29 to 33 in the construction of optical or electro-optical devices.

40. The use of a photoactive copolymer according to any one of claims 1 to 28 or a mixture according to any one of claims 29 to 33 in the construction of optical constructional elements.
- 5 41. The use of a photoactive copolymer according to any one of claims 1 to 28 or a mixture according to any one of claims 29 to 33 in the construction of unstructured and structured optical elements.
- 10 42. Use of a photoactive copolymer according to any one of claims 1 to 28 or a mixture according to any one of claims 29 to 33 in the construction of a multi-layer system.

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08F246/00 C09K19/38 G02F1/1337

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08F C09K G02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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A	EP 0 090 282 A (BASF) 5 October 1983 (1983-10-05) -----	

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

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Date of the actual completion of the international search

26 March 2002

Date of mailing of the international search report

05/04/2002

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